

**Republic of Iraq
Office of the Prime Minister**

**Strengthening Public Financial Management
Oversight and Accountability Institutions in Iraq
P170704**

**Revised ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)**

September 23, 2019

Government of Iraq
Strengthening Public Financial Management Oversight and Accountability Institutions in Iraq (P170704)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Iraq is planning to implement the Strengthening Public Financial Management Oversight and Accountability Institutions in Iraq Project (the Project) with the involvement of the following Ministries and Agencies:

At the federal level, the participating government agencies for the project will include: The Office of the Prime Minister, the Federal Ministry of Finance, the Federal Ministry of Planning, the Federal State Council, the Iraqi Higher Judicial Council, the Federal Board of Supreme Audit, the Higher Anti-Corruption Council and the Iraqi Council of Representatives.

At the regional level, the participating government agencies for the project will include: the Kurdistan Regional Government (KRG) Task Force for Economic Governance (Offices of the Prime Minister and the Deputy Prime Minister), the KRG Ministry of Planning, the KRG Ministry of Trade, the Kurdistan Region of the Republic of Iraq (KRI) Shura Council, the KRI Board of Supreme Audit, the KRI Commission of Integrity and the KRI Parliament. In addition, the project will help to facilitate the participation of Non-State Actors (NSAs) in public finance accountability through increased transparency in public finance.

The project is funded by the European Union (EU)- World Bank (WB) Multi-Donor Trust Fund.

2. The Project Coordination Unit (PCU) will implement material measures and actions to implement the project in accordance with the World Bank Environmental and Social Standards (ESSs). The Project Coordination Unit will be established within the Prime Minister's Office. This Environmental and Social Commitment Plan (ESCP) provides a summary of the relevant material measures and actions.
3. Where the ESCP refers to specific plans or other documents, whether they have already been prepared or are to be developed, the ESCP requires compliance with all provisions of such plans or other documents. In particular, the ESCP requires compliance with the provisions contained in the Stakeholder Engagement Plan (SEP), Labor Management Procedure (LMP), Environmental and Social Management Plan (ESMP). The SEP has been developed for the project. LMP will be developed before grant effectiveness. ESMP will be developed before issuing first bidding document and procurement order.
4. The Table below summarizes the material measures and actions that are required, as well as the timing of the material measures and actions. The PCU is responsible for compliance with all requirements of the ESCP — even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in point 1. above.
5. Implementation of the material measures and actions outlined in this ESCP will be monitored and reported to the Bank by the PCU. This is required by the ESCP and the conditions of the legal agreement. The [Bank] will monitor and assess progress and completion of the material measures and actions throughout project implementation.
6. As agreed by the Bank, the Prime Minister's Office and the KRG Ministry of Planning, this ESCP may be revised from time to time during project implementation to reflect adaptive management of project

changes and unforeseen circumstances — or in response to an assessment of project performance conducted under the ESCP itself. In such circumstances, the Prime Minister's Office and the KRG Ministry of Planning will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through an exchange of letters signed between the Bank, the Prime Minister's Office and the KRG Ministry of Planning. The Prime Minister's Office and the KRG Ministry of Planning will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a specific measure or action.

7. If the project changes, or if there are unforeseen circumstances, or if the project performance results in changes to the risks and impacts during project implementation, the PCU shall provide additional funds, if needed. These funds will be used to implement actions and measures to address such risks and impacts, which may include risks and impacts that are relevant to the project, such as the social exclusion of vulnerable or disadvantaged groups and/ or inadequate implementation of labor management procedures

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ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN Sept 2019			
MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY and Resources/Funding Committed
ESCP MONITORING AND REPORTING			
A	<p>REGULAR REPORTING: Prepare and submit to the Bank regular monitoring reports regarding the implementation of the material measures and actions to mitigate potential environmental and social risks and impacts.</p>	Throughout the Project Life	<p>The Environment and Social (E&S) Specialists for the project are responsible for the preparation and submission of the reports. This is the responsibility of the environment and social staff of the project. Therefore, the cost of the report preparation does not need to be allocated separately. The Environment Specialist and the Social Specialist at the federal level will be housed within the PCU Program Office.</p> <p>Six-monthly ESCP compliance monitoring reports would be prepared and submitted throughout the project life. The first report will be the date of six months after project effectiveness.</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY and Resources/Funding Committed
B	<p>INCIDENTS AND ACCIDENTS: The PCU will provide notification to the Bank of any incident or accident. It will produce a report related to or having an impact on the project to the Bank, which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers or any other affected party, due to the risks identified in the environmental and social assessment.</p> <p>The PCU will provide sufficient details regarding the incident or accident, indicating immediate measures taken to address the issue. It will include information provided by any contractor and supervising entity, as appropriate.</p>	Promptly after notification of the incident or accident throughout the Project life.	<p>Project Manager at PCU.</p> <p>Immediately after the incident or accident has occurred</p>
C	<p>CONTRACTORS MONTHLY REPORTS</p> <p>[In contracts for works using the Bank's standard procurement documents, contractors are required to provide monthly monitoring reports to the Project Implementing Unit. If needed, teams can include an action indicating that such monthly reports would be submitted to the Bank by the Borrower upon request]</p>	Not relevant	
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE: The Office of the Prime Minister will hire part-time Environment and Social Specialists within the Project Coordination Unit (PCU) to support the management of environmental and social risks.</p> <p>Each Project Implementation Unit (PIU) will have a designated Environment and Social (E&S) Focal Point.</p>	For the entire project period	<p>The Project Manager (PM) at the PCU will ensure that at least one Environment Specialist and one Social Specialist are in place within the PCU prior to Grant Effectiveness.</p> <p>The cost of the E&S specialists will be part of the overall project staffing costs.</p> <p>Before Grant Effectiveness.</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY and Resources/Funding Committed
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT: The PCU will conduct an Environmental and Social Assessment (ESA) to identify and assess the environmental and social risks and impacts of the project.	<i>Four to eight weeks.</i>	Project Manager at PCU with support from Environment and Social Specialists. Cost: Resources will be secured under operational costs. After grant effectiveness and before issuance of the first bidding document and first procurement order
1.3	MANAGEMENT TOOLS AND INSTRUMENTS Prepare ESMP based on the Environmental and Social assessment mentioned above proportional to the environmental and social risks and impacts	<i>Four to eight weeks.</i>	Project Manager at PCU with support from Environment and Social Specialists. Cost: Resources will be secured under operational costs. After project effectiveness and before issuance of the first bidding document and first procurement order
1.4	MANAGEMENT OF CONTRACTORS [Some project activities may involve contractors/subcontractors to carry out physical works. In those cases, the ESCP should require the tender documents to reflect the relevant aspects of the ESCP. See example below]. Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.	<i>[Indicate timing: e.g. Prior to the preparation of procurement documents. Supervise contractors throughout Project implementation].</i>	
ESS 2: LABOR AND WORKING CONDITIONS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY and Resources/Funding Committed
2.1	LABOR MANAGEMENT PROCEDURES: Develop labor management procedures.	<i>Four to eight weeks Before the grant effectiveness</i>	<p>Labor Expert will be hired by PCU to conduct this exercise under the supervision of the Project Manager, and supported by the Social Specialist</p> <p>Cost: Resources will be secured under operational costs.</p>
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS: Develop and maintain a grievance redress mechanism for project workers as described in the LMP above.	<i>Grievance redress mechanism to be operational prior to engaging project workers; and maintained throughout project implementation.</i>	<p>Labor Expert will be hired by PCU to conduct this exercise under the supervision of the Project Manager, and supported by the Social Specialist</p> <p>Cost: Resources will be secured under operational costs.</p> <p>After project effectiveness and before issuance of the first bidding document and the first procurement order</p>
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES: Develop and implement occupational, health and safety (OHS) measures as specified in the ESMP.	<i>Prior to initiating the first procurement order and full-scale project activities. Maintained throughout project implementation.</i>	<p>Labor Expert (Consultant), Environment Specialist and Social Specialist at PCU.</p> <p>Direct costs associated with this activity will be covered under the development of the LMP; remaining costs will be covered under the costs of implementing the overall ESCP (staff time, and so on)</p> <p>After project effectiveness and before issuance of the first bidding document and the first procurement order</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY and Resources/Funding Committed
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT [the relevance of ESS3 is established during the ESA process. ESS3 may require the adoption of specific measures to cover energy, water and raw materials use, management of air pollution, hazardous and nonhazardous wastes, chemicals and hazardous materials and pesticides. Depending on the project, these measures may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS3-related measures are covered under an existing document or as stand-alone actions. See examples below].			
3.1	E-WASTE MANAGEMENT PLAN:	Not relevant	
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:	Not relevant	
ESS 4: COMMUNITY HEALTH AND SAFETY [the relevance of ESS4 is established during the ESA process. As with ESS3, ESS4 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS4-related measures are covered under an existing document or as stand-alone actions. See examples below].			
4.1	TRAFFIC AND ROAD SAFETY:	Not relevant	
4.2	COMMUNITY HEALTH AND SAFETY:	Not relevant	
4.3	GBV AND SEA RISKS: [For projects with a moderate, substantial, or high prevalence of GBV risk]	Not relevant	
4.4	GBV AND SEA RISKS DURING PROJECT IMPLEMENTATION: [If necessary, specify additional funds available to implement measures to address GBV and SEA risks and impacts that may arise during Project implementation.]	Not relevant	
4.4	SECURITY PERSONNEL:	Not relevant	
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT [the relevance of ESS5 is established during the ESA process. If during Project preparation, it is determined that resettlement documents need to be prepared, this should be reflected in the ESCP. See examples below]			
5.1	RESETTLEMENT PLANS:	Not relevant	
5.2	GRIEVANCE MECHANISM [The grievance mechanism (GM) to address resettlement related complaints should be described in the RPF, RAPs and SEP. However, if there is a distinctive feature as to how ESS5 related grievances will be handled, this can be specified as an action in the ESCP].	Not relevant	

ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS6-related measures are covered under an existing document or as stand-alone actions. See <u>examples</u> below].			
6.1	BIODIVERSITY RISKS AND IMPACTS: [Where biodiversity-related risks and impacts cannot be comprehensively covered as part of the ESMP]	Not relevant	
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES [See examples of possible actions below, if determined that ESS7 is relevant].			
7.1	INDIGENOUS PEOPLES PLAN:	Not relevant	
7.2	GRIEVANCE MECHANISM:	Not relevant	
ESS 8: CULTURAL HERITAGE [the relevance of ESS6 is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS8-related measures are covered under an existing document or as stand-alone actions. See <u>examples</u> below].			
8.1	CHANCE FINDS:	Not relevant	
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs). See below a couple of examples of actions that should be considered when FIs are involved.]			
9.1	ESMS:	Not relevant	
9.2	FI ORGANIZATIONAL CAPACITY:	Not relevant	
9.3	SENIOR MANAGEMENT REPRESENTATIVE:	Not relevant	
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			

10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: Implement the SEP approved and disclosed by 30 October 2019 date.	Throughout project implementation	<p>Social Specialists, two part-time Social Specialists (Federal and Regional levels).</p> <p>Costs will be covered under the overall costs of implementing the ESCP (staff time, and so on)</p> <p>Project Completion Date</p>
10.2	PROJECT GRIEVANCE MECHANISM: Develop and implement the arrangements for the grievance mechanism as described in the SEP.	At Project Effectiveness	<p>Social Specialists, two part-time Social Specialists (Federal and Regional levels).</p> <p>Costs will be covered under the overall costs of implementing the ESCP (staff time, and so on)</p> <p>After project effectiveness and before issuance of the first bidding document and the first procurement order</p>
CAPACITY SUPPORT (TRAINING)			
CS1	<p>[Specify Training to be provided and targeted groups</p> <ul style="list-style-type: none"> • Stakeholder mapping and engagement • Labor management procedures • Occupational health and safety • Emergency preparedness and response • 	First round of training to be completed within first year of project effectiveness for the PCU; Annual refresher for each area throughout the project life.	<p>The first set of trainings will be completed within the first year of project effectiveness with annual refreshers throughout the project period.</p> <p>Costs: Resources will be secured under operational costs</p>

CS2	[Specify training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations.]	Not Relevant	
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